Quantum Foundations Lecture 23

April 30, 2018 Dr. Matthew Leifer

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HSC112

Announcements

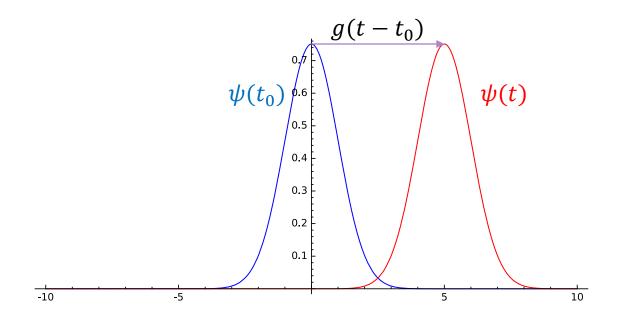
- Assignments: Final Version due May 2.
- Homework 4 due April 30.
- Homework 5 due May 25.
- Final Exam to be issued later this week.

Translation Hamiltonian

 Suppose now that the Hamiltonian of our system is proportional to the momentum

$$\widehat{H} = g\widehat{p}$$

• The propagator $\widehat{U}(t,t_0)=e^{-ig(t-t_0)\widehat{p}}$ is a translation operator, so the wavefunction will move to the right at a rate g.



- Now we want to show how a measurement of any Hermitian observable \hat{A} can be accomplished by coupling the system to the position of a pointer, and then measuring the position of the pointer.
- Suppose \hat{A} has eigenstates $\hat{A}|\phi_j\rangle = a_j|\phi_j\rangle$ and suppose, for now, that the system is prepared in one of its eigenstates $|\phi_j\rangle_s$.
- We prepare our pointer in a narrow Gaussian wavepacket, centered at x=0, i.e. $|\Psi(t_0)\rangle_M$ with

$$\langle x|\Psi(t_0)\rangle = \Psi(x,t_0) = \frac{1}{\sqrt{\sqrt{\pi}\sigma}} \exp\left(\frac{-x^2}{2\sigma^2}\right)$$

We now couple the system and pointer using the Hamiltonian

$$\widehat{H} = \widehat{A}_S \otimes \widehat{p}_M$$

• We run the dynamics for time $t-t_0=1$, which will generate the propagator

$$\widehat{U}_{SM} = e^{-i\widehat{A}_S \otimes \widehat{p}_M} = \sum_{n=0}^{\infty} \frac{(-i)^n \widehat{A}_S^n \otimes \widehat{p}_M^n}{n!}$$

When this acts on the state of the system and pointer, we get

$$\widehat{U}_{SM} |\phi_j\rangle_S \otimes |\Psi\rangle_M = \sum_{n=0}^{\infty} \frac{(-i)^n \widehat{A}_S^n |\phi_j\rangle_S \otimes \widehat{p}_M^n |\Psi\rangle_M}{n!}$$

$$= \sum_{n=0}^{\infty} \frac{(-i)^n a_j^n |\phi_j\rangle_S \otimes \hat{p}_M^n |\Psi\rangle_M}{n!}$$

$$= \left[\sum_{n=0}^{\infty} \frac{(-ia_j \hat{p}_M)^n}{n!} \right] |\phi_j\rangle_S \otimes |\Psi\rangle_M$$

$$= |\phi_j\rangle_S \otimes e^{-ia_j \hat{p}_M} |\Psi\rangle_M$$

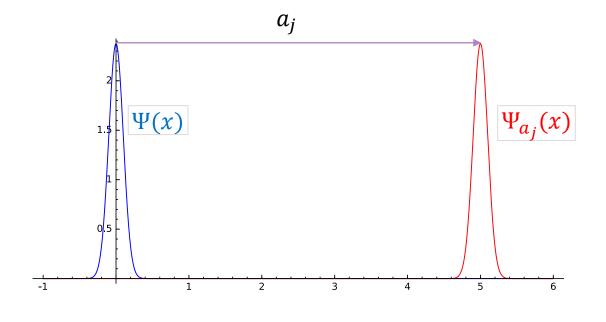
• In other words, the wavefunction $\Psi(x)$ of the pointer will be translated to

$$\Psi_{a_j}(x) = \Psi(x - a_j)$$

 \circ If the width σ of the initial Gaussian is sufficiently small, measuring the position of the pointer will yield the probability density

$$p(x) = \left| \Psi_{a_j}(x) \right|^2$$

which will be very close to a_j with near certainty.



• Now consider what happens if we start the system in an arbitrary state $|\psi\rangle_S$, which can be written as a superposition of eigenstates of \hat{A} .

$$|\psi\rangle_S = \sum_j \alpha_j |\phi_j\rangle_M$$

By the superposition principle, the evolution will be

$$\widehat{U}_{SM}|\psi\rangle_{S} \otimes |\Psi\rangle_{M} = \sum_{j} \alpha_{j} |\phi_{j}\rangle_{S} \otimes e^{-i\alpha_{j}\widehat{p}} |\Psi\rangle_{M}$$

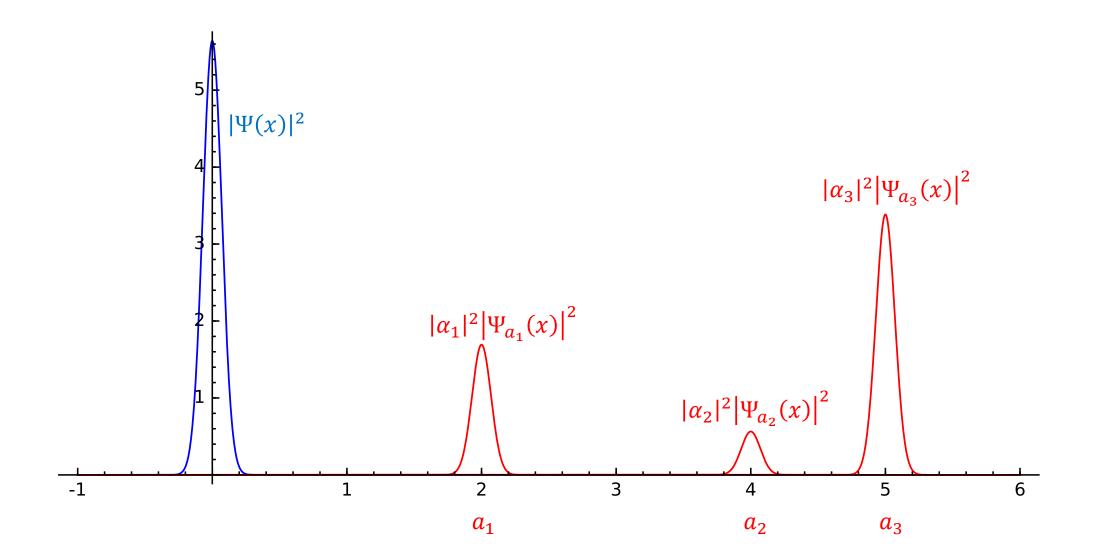
$$= \sum_{j} \alpha_{j} |\phi_{j}\rangle_{S} \otimes |\Psi_{a_{j}}\rangle_{M}$$

where
$$\langle x | \Psi_{a_j} \rangle = \Psi_{a_j}(x) = \Psi(x - a_j)$$
.

 If we look at the probability density for the pointer position, we will get

$$p(x) = \sum_{jk} \left(\alpha_j^* \langle \phi_j |_{S} \otimes \left\langle \Psi_{a_j} |_{M} \right) | x \rangle_M \langle x | \left(\alpha_k | \phi_k \rangle_S \otimes \left| \Psi_{a_j} \right\rangle_M \right)$$

$$= \sum_{j} \left| \alpha_{j} \right|^{2} \left| \Psi_{a_{j}}(x) \right|^{2}$$



A Map Of The Madness

	Realist		Copenhagenish	
	Ontological Model	Exotic Ontology	Objective	Perspectival
ψ -epsitemic		Ironic Many Worlds	Copenhagen	QBism
		Quantum Logical Realism	Healy's Quantum Pragmatism	Rovelli's Relational Quantum Mechanics
			Bub's "Information" Interpretation	
$\psi ext{-ontic}$	de Broglie-Bohm	Everett/Many Worlds		
	Spontaneous Collapse			
	Modal Interpretations			

10.ii) de Broglie-Bohm Theory

- A brief history:
 - The 1st order form of dBB theory was discovered and then abandoned by de Broglie in the 1920's.
 - o dBB was rediscovered, in 2nd order form, by Bohm in 1952.
 - The forgotten 1st order form was promoted by Bell in the 1970's and 80's.
 - Proponents still fight over which form is better. I will follow Bell's approach here.
- See T. Norsen, "Foundations of Quantum Mechanics" (Springer,2017) for an overview of this theory.

Ontology of dBB Theory

- The goal of any interpretation is to:
 - Provide an ontology: a statement of what exists and how it behaves.
 - Save the phenomena: Explain the quantum predictions and our everyday experience in terms of the ontology.
- Bohmians typically divide the ontology into two pieces:
 - Primitive ontology: The things that determine what we experience. Usually assumed to be localized in spacetime – local beables. In dBB this is particle trajectories.
 - The rest: Needed to determine how the primitive ontology behaves. In dBB this is the quantum state.

Single Particle Theory in 1-Dimension

 For particles with no internal degrees of freedom (spin), we use the wavefunction

$$\psi(x,t) = \langle x | \psi(t) \rangle$$

- The quantum state obeys the Schrödinger equation: $i\frac{\partial |\psi\rangle}{\partial t} = H|\psi\rangle$
- \circ dBB also has an actual particle with position X.
- This obeys the guidance equation:

$$\frac{dX}{dt} = \frac{1}{m} \frac{\operatorname{Im}\left(\psi^{*}(x,t) \frac{\partial \psi(x,t)}{\partial x}\right)}{\psi^{*}(x,t)\psi(x,t)}\Big|_{x=X}$$

Single Particle Theory in 3-Dimensions

- \odot In 3-dimensions, we introduce the basis $|\vec{q}\rangle = |x\rangle \otimes |y\rangle \otimes |z\rangle$
- For particles with no internal degrees of freedom (spin), we use the wavefunction

$$\psi(\vec{q},t) = \langle \vec{q} | \psi(t) \rangle = \langle x | \langle y | \langle z | \psi(t) \rangle$$

- The quantum state obeys the Schrödinger equation: $i\frac{\partial |\psi\rangle}{\partial t} = H|\psi\rangle$
- ullet dBB also has an actual particle with position vector $ec{Q}$
- This obeys the guidance equation:

$$\frac{d\vec{Q}}{dt} = \frac{1}{m} \frac{\operatorname{Im}\left(\psi^*(\vec{q},t)\vec{\nabla}\psi(\vec{q},t)\right)}{\psi^*(q,t)\psi(q,t)} \bigg|_{\vec{q}=\vec{Q}}$$

General Case

- To describe N particles, we need to specify a position vector for each of them $\mathbf{q}=(\vec{q}_1,\vec{q}_2,\cdots,\vec{q}_3)$
- o Notation: \vec{q} denotes a vector in \mathbb{R}^3 . \vec{q} denotes a vector in \mathbb{R}^{3N} , called a configuration vector.
- \circ \mathbb{R}^{3N} is called configuration space.
- We can write a quantum state as a wavefunction on configuration space:

$$\psi(\boldsymbol{q},t) = \psi(\vec{q}_1,\vec{q}_2,...,\vec{q}_N,t) = \langle \boldsymbol{q}|\psi(t)\rangle = \langle \vec{q}_1,\vec{q}_2,...,\vec{q}_N|\psi(t)\rangle$$

- The wavefunction obeys the Schrödinger equation: $i\frac{\partial |\psi\rangle}{\partial t} = H|\psi\rangle$
- dBB also has an actual point in configuration space:

$$\boldsymbol{Q}=(\vec{Q}_1,\vec{Q}_2,\dots,\vec{Q}_N)$$

This obeys the guidance equation:

$$\left. \frac{d\vec{Q}_k}{dt} = \frac{\hbar}{m_k} \frac{\operatorname{Im} \left(\psi^*(\boldsymbol{q}, t) \vec{\nabla}_k \psi(\boldsymbol{q}, t) \right)}{\psi^*(\boldsymbol{q}, t) \psi(\boldsymbol{q}, t)} \right|_{\boldsymbol{q} = \boldsymbol{Q}}$$

Equilibrium Hypothesis and Equivariance

- One more postulate is required to obtain the same predictions as standard quantum theory - Quantum Equilibrium Hypothesis:
 - At time $t=t_0$, the probability density of the system occupying configuration point \boldsymbol{Q} is:

$$\rho(\boldsymbol{Q}) = |\psi(\boldsymbol{Q})|^2$$

- Under the dBB evolution we will show that if this holds at $t=t_0$ then it holds at all times. This is known as equivariance.
- There is controversy about what $\rho(\mathbf{Q})$ means as dBB is applied to the entire universe, which only has a single configuration space point.
 - Roughly speaking, if we prepare many systems in the state $|\psi\rangle \otimes |\psi\rangle \otimes \cdots \otimes |\psi\rangle$, the probability density of configurations is $\rho(\mathbf{Q})$.
- Note that the quantum state is playing two independent roles:
 - It governs dynamics via the guidance equation.
 - It is used to set the probability density.

Continuity Equations

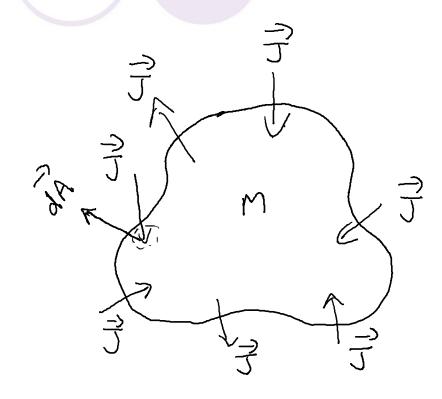
- Consider a volume of space in which there is a total mass m(t).
- Let $\vec{J}(\vec{r},t)$ be the mass current, i.e.

Net amount off mass flowing through a unit crosssectional area per unit time.

- We use surface area vectors $d\vec{A}$ pointing out of the volume.
- Then

$$\frac{\mathrm{d}m}{\mathrm{d}t} + \int \vec{J}(\vec{r}, t) \cdot \mathrm{d}\vec{A} = 0$$

• We can write $m(t) = \int \rho(\vec{r}, t) dV$, where $\rho(\vec{r}, t)$ is the mass density.



Continuity Equations

Using the divergence theorem, we can also write

$$\int \vec{J}(\vec{r},t) \cdot d\vec{A} = \int \vec{\nabla} \cdot \vec{J}(\vec{r},t) dV$$

so we have

$$\int \left[\frac{\mathrm{d}\rho(\vec{r},t)}{\mathrm{d}t} + \vec{\nabla} \cdot \vec{J}(\vec{r},t) \right] dV = 0$$

Since this has to hold for any volume, we have

$$\frac{\mathrm{d}\rho(\vec{r},t)}{\mathrm{d}t} + \vec{\nabla} \cdot \vec{J}(\vec{r},t) = 0$$

This is called the continuity equation.

Hamiltonian For a Nonrelativistic Particle

- \odot The Hamiltonian operator \widehat{H} represents the energy of a particle.
- For a nonrelativistic particle in 1D we have

$$\widehat{H} = \frac{\widehat{p}^2}{2m} + V(\widehat{x})$$

where V(x) is the potential energy of the particle.

Last lecture we saw that, in the position representation

$$\hat{p} = -i\frac{\partial}{\partial x}$$

so
$$\hat{p}^2 = -\frac{\partial^2}{\partial x^2}$$
 and

$$\widehat{H} = -\frac{1}{2m} \frac{\partial^2}{\partial x^2} + V(x)$$

Hamiltonian for Nonrelativistic Particles

In 3-dimensions, this generalizes to

$$\widehat{H} = -\frac{1}{2m}\nabla^2 + V(\vec{q})$$

and if we have N particles, this generalizes to

$$\widehat{H} = -\sum_{k=1}^{N} \frac{1}{2m_k} \nabla_k^2 + V(\boldsymbol{q})$$

where $\mathbf{q}=(\vec{q}_1,\vec{q}_2,\cdots,\vec{q}_N)$, $\vec{q}_k=(x_k,y_k,z_k)$, and

$$\nabla_k^2 = \frac{\partial^2}{\partial x_k^2} + \frac{\partial^2}{\partial y_k^2} + \frac{\partial^2}{\partial z_k^2}$$

Continuity Equation for Probability

- We can derive a continuity for the probability density $\rho(q) = |\psi(q)|^2$ in quantum theory.
- Consider a single particle in 1D

$$\frac{\partial \rho}{\partial t} = \frac{\partial \left(\psi^*(x,t)\psi(x,t)\right)}{\partial t} = \psi^*(x,t)\frac{\partial \psi(x,t)}{\partial t} + \frac{\partial \psi^*(x,t)}{\partial t}\psi(x,t)$$

• From the Schrödinger equation $i\frac{\partial |\psi(t)\rangle}{\partial t}=\widehat{H}|\psi(t)\rangle$, we have

$$\frac{\partial \psi(x,t)}{\partial t} = \frac{i}{2m} \frac{\partial^2 \psi(x,t)}{\partial^2 x} - iV(x)\psi(x,t)$$

$$\frac{\partial \psi^*(x,t)}{\partial t} = \frac{-i}{2m} \frac{\partial^2 \psi^*(x,t)}{\partial^2 x} + iV(x)\psi^*(x,t)$$

Continuity Equation For Probability

Substituting these into

$$\frac{\partial \rho}{\partial t} = \psi^*(x, t) \frac{\partial \psi(x, t)}{\partial t} + \frac{\partial \psi^*(x, t)}{\partial t} \psi(x, t)$$

gives

$$\frac{\partial \rho}{\partial t} = \frac{i}{2m} \left[\psi^*(x,t) \frac{\partial^2 \psi(x,t)}{\partial^2 x} - \frac{\partial^2 \psi^*(x,t)}{\partial^2 x} \psi(x,t) \right]$$

 $-iV(x)[\psi^*(x,t)\psi(x,t)-\psi(x,t)\psi^*(x,t)]$ (this term cancels)

Continuity Equation For Probability

$$\psi^*(x,t)\frac{\partial^2 \psi(x,t)}{\partial^2 x} - \frac{\partial^2 \psi^*(x,t)}{\partial^2 x}\psi(x,t)$$

$$=\psi^*(x,t)\frac{\partial^2\psi(x,t)}{\partial^2x}+\frac{\partial\psi^*(x,t)}{\partial x}\frac{\partial\psi(x,t)}{\partial x}-\frac{\partial\psi(x,t)}{\partial x}\frac{\partial\psi^*(x,t)}{\partial x}-\frac{\partial^2\psi^*(x,t)}{\partial x}-\frac{\partial^2\psi^*(x,t)}{\partial x}\psi(x,t)$$

$$= \frac{\partial}{\partial x} \left[\psi^*(x,t) \frac{\partial \psi(x,t)}{\partial x} - \frac{\partial \psi^*(x,t)}{\partial x} \psi(x,t) \right]$$

$$= \frac{\partial}{\partial x} \left[2i \operatorname{Im} \left(\psi^*(x, t) \frac{\partial \psi(x, t)}{\partial x} \right) \right]$$

Continuity Equation for Probability

Therefore, if we define

$$J(x,t) = \frac{1}{m} \operatorname{Im} \left(\psi^*(x,t) \frac{\partial \psi(x,t)}{\partial x} \right)$$

we get

$$\frac{\partial \rho(x,t)}{\partial t} + \frac{\partial J(x,t)}{\partial x} = 0$$

- This has the form of a continuity equation.
- \circ J(x,t) is the probability current, i.e. the rate of flow of probability out of point x.

Continuity Equation in 3D

For a single particle in 3D, this generalizes to

$$\frac{\partial \rho(\vec{q}, t)}{\partial t} + \vec{\nabla} \cdot \vec{J}(\vec{q}, t) = 0$$

with probability current

$$\vec{J}(\vec{q},t) = \frac{1}{m} \operatorname{Im} \left(\psi^*(\vec{q},t) \vec{\nabla} \psi(\vec{q},t) \right)$$

Multiple particles

For multiple particles in 3D, this generalizes to

$$\frac{\partial \rho(\boldsymbol{q},t)}{\partial t} + \nabla \cdot \boldsymbol{J}(\boldsymbol{q},t) = 0$$

with probability current $\boldsymbol{J}=(\vec{J_1},\vec{J_2},\cdots,\vec{J_n})$

$$\vec{J}_k(\boldsymbol{q},t) = \frac{1}{m_k} \operatorname{Im} \left(\psi^*(\boldsymbol{q},t) \vec{\nabla}_k \psi(\boldsymbol{q},t) \right)$$

Bell's derivation of the guidance equation and equivariance

Solutions of the Schrödinger equation satisfy the continuity equation:

$$\frac{\partial |\psi(\boldsymbol{q},t)|^2}{\partial t} + \nabla \cdot \boldsymbol{J}(\boldsymbol{q},t) = 0$$

where J(q,t) is the probability current:

$$\boldsymbol{J} = (\vec{J}_1, \vec{J}_2, \dots, \vec{J}_N) \qquad \qquad \vec{J}_k(\boldsymbol{q}) = \frac{\hbar}{m_k} \operatorname{Im}(\psi^* \vec{\nabla}_k \psi)(\boldsymbol{q})$$

- If we consider a preparation of $|\psi\rangle\otimes|\psi\rangle\otimes\cdots$ we want to consider J as a flow of particle density rather than probability.
- If we assume this is generated by a velocity field v(q), e.g. as in hydrodynamics, then $J = \rho v$, so the equation for the velocity field should be:

$$v(q) = \frac{J(q)}{\rho(q)}$$
 $\vec{v}_k(q) = \frac{\hbar}{m_k} \frac{\operatorname{Im}(\psi^* \vec{\nabla}_k \psi)}{\rho}(q)$

which gives the dBB velocities if we set $\rho(\mathbf{Q}) = |\psi(\mathbf{Q})|^2$.

Trajectories for a 1D Gaussian Wavepacket

O Consider an initial Gaussian varopachet moving towards the right $\psi(x,0) = \frac{1}{(2\pi \sigma_0^2)^{1/4}} \exp \left[-\frac{x^2}{4\sigma_0^2} + i k x^2\right]$

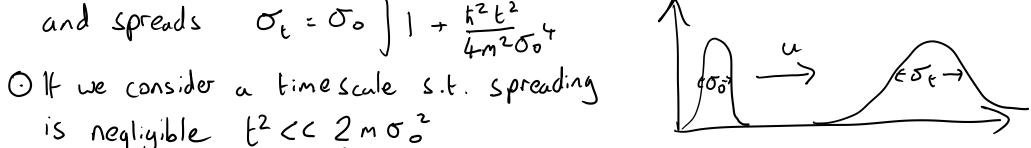
O Under free-particle evolution this moves with group velocity u= thk

and spreads
$$\sigma_{t} = \sigma_{0} \int 1 + \frac{t^{2}t^{2}}{4m^{2}\sigma_{0}}$$

is negligible t² << 2 m o 2

then the dBB velocity
$$\frac{dX}{dt} \sim u$$

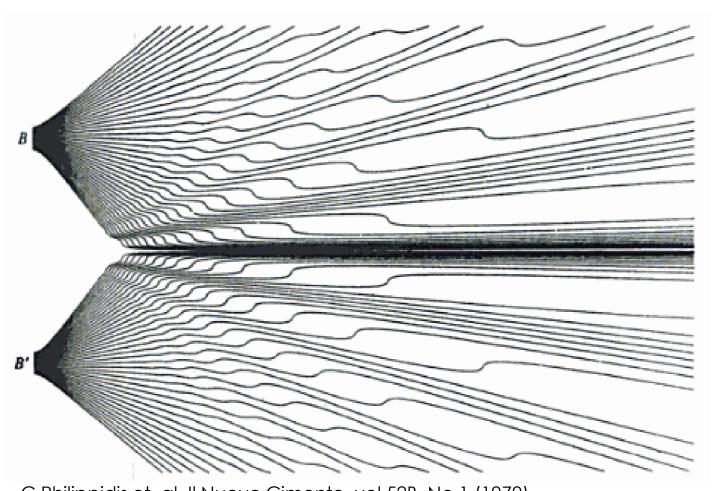
Particle is dragged along with wavepachet at group velocity





See e.g. A. Pan, Primana J. Phys. 74:867 (2010)

Double-Slit Trajectories



C.Philippidis et. al. Il Nuovo Cimento, vol.52B, No.1 (1979)

Model with Gaussian Slits.

OWhen Ye, Ye have approximately no overlap (close to slits)

The trajectories are as in geometric optics, i.e. perpendicular to wavefronts

Owhen they overlap there are cross-terms (interference) in the Current, causing deflections which give the characteristic double-slit pattern.

Measurements in de Broglie-Bohm Theory

 Dividing the universe into system S and environment E allows us to define a pure state for the system called the conditional quantum state.

$$\left|\psi_{\boldsymbol{Q}_{E}}\right\rangle_{S}=E\langle\boldsymbol{Q}_{E}|\psi\rangle_{SE}$$

where Q_E is the actual configuration point of the environment.

- Generally, these do not evolve according to the Schrödinger equation, but they do if there is decoherence into localized environment states.
 - \circ For example, if Q_E is the pointer variable after a von Neumann measurement interaction.
- Model the measurement device as a large number of particles, with outcomes represented by macroscopically distinct states with very small overlap:



with
$$\Phi_{o}(q_{\epsilon})\Phi_{o}(q_{\epsilon}) \simeq 0$$

• In a measurement interaction:

$$[\alpha\psi_0(\boldsymbol{q}_S) + \beta\psi_1(\boldsymbol{q}_S)]\Phi_R(\boldsymbol{q}_E) \rightarrow \alpha\psi_0(\boldsymbol{q}_S)\Phi_0(\boldsymbol{q}_E) + \beta\psi_1(\boldsymbol{q}_S)\Phi_1(\boldsymbol{q}_E)$$

Measurements in de Brogle-Bohm Theory

$$\alpha \psi_0(\boldsymbol{q}_S) \Phi_0(\boldsymbol{q}_E) + \beta \psi_1(\boldsymbol{q}_S) \Phi_1(\boldsymbol{q}_E)$$

- If the lack of position overlap between $\Phi_0(q_E)$ and $\Phi_1(q_E)$ persists in time then:
 - The actual configuration of the environment Q_E is either in the support of $\Phi_0(q_E)$ or the support of $\Phi_1(q_E)$.
 - By equivariance, it will be in the support of $\Phi_0(q_E)$ with probability $|\alpha|^2$ and in the support of $\Phi_1(q_E)$ with probability $|\beta|^2$.
 - The conditional state of the system will either be $\propto \psi_0(q_S)$ or $\propto \psi_1(q_S)$.
 - \bullet $\psi_0(q_S)$ and $\psi_1(q_S)$ each evolve according to the Schrödinger equation.
 - The current breaks into two terms $J = J_0 + J_1$, with $J_0 = 0$ in the support of $\Phi_1(q_E)$ and vice versa, i.e. no cross terms in the guidance equation.
- We get an effective collapse into either $\psi_0(q_S)\Phi_0(q_E)$ or $\psi_1(q_S)\Phi_1(q_E)$ and we can use the corresponding current J_0 or J_1 in the guidance equation to compute subsequent evolution.

Measurements in de Broglie-Bohm Theory

- If the measurement is an (approximate) position measurement then also $\psi_0(\mathbf{q}_S)\psi_1(\mathbf{q}_S)\approx 0$.
- The initial configuration Q_S of the system is either in the support of $\psi_0(q_S)$ with probability $|\alpha|^2$ or in the support of $\psi_1(q_S)$ with probability $|\beta|^2$.
- The measurement outcome is a deterministic function of Q_S : position measurements simply reveal the pre-existing position.
- However, for other observables, e.g. momentum, $\psi_0(q_S)\psi_1(q_S) \neq 0$, i.e. the initial configuration does not necessarily "belong" to one of the two eigenstates.
- \circ Which measurement outcome occurs is a function of both $oldsymbol{Q}_{S}$ and $oldsymbol{Q}_{E}$.
- Momentum measurement does not measure the dBB momentum $m_k \frac{d\vec{Q}_k}{dt}$.
- The theory is deterministic: outcome uniquely determined by ontic states of system and measuring device.
- But not outcome deterministic: outcome uniquely determined by ontic state of system on its own.

Treatment of Spin

- In the minimalist Bell approach to dBB, no observables apart from position are part of the primitive ontology.
- Spin only appears in the wavefunction.
- We can write a wavefunction including spin as a spinor, e.g. for a single particle:

$$\psi_0(\vec{q}) \otimes |\uparrow\rangle + \psi_1(\vec{q}) \otimes |\downarrow\rangle \quad \rightarrow \quad \bar{\psi}(\vec{q}) = \begin{pmatrix} \psi_0(\vec{q}) \\ \psi_1(\vec{q}) \end{pmatrix}$$

- \circ For N spin-1/2 particles, we would have a 2^N dimensional spinor vector.
- The guidance equation is now:

$$\frac{d\vec{Q}_k}{dt} = \frac{\hbar}{m_k} \frac{\operatorname{Im}(\overline{\psi}^* \cdot \overline{\nabla}_k \overline{\psi})}{\overline{\psi}^* \cdot \overline{\psi}} (\boldsymbol{Q}),$$

- where \cdot is spinor inner product.
- It is possible instead to have primitive ontic states for any complete orthonormal basis, but discrete bases require a stochastic guidance equation.

Counterintuitive Features of dBB Trajectories

- dBB trajectories display several features that violate classical intuitions about particle trajectories.
- It is important to note that, if decoherence occurs in an environmental basis that is localized in position, dBB trajectories of the system will approximately follow classical trajectories.
- dBB doesn't owe us anything more than that. So long as:
 - It reproduces the predictions of quantum theory in measurements.
 - Macroscopic systems typically have approximately classical trajectories.
 then the theory saves the phenomena.
- Since quantum and classical predictions are different, dBB trajectories must differ from classical ones in some situations.
- The question is only if they are weirder than absolutely necessary to reproduce quantum theory, and whether that is a bad thing.