Quantum Foundations Lecture 13

March 26, 2018
Dr. Matthew Leifer
leifer@chapman.edu
HSC112

Announcements

- Adam Becker is returning to Chapman:
 - Book event and signing at 1888 center: Monday April 16. RSVP required https://bit.ly/AdamBecker
- Assignments
 - First Draft due on Blackboard April 11.
 - Peer review until April 16.
 - Discussion in class April 16.
 - Final Version due May 2.
- Homework 3 due April 11.
- I like lunch invitations

8) The Generalized Formalism

AKA Everything I taught you in PHYS451 is wrong

- i. The Two Churches of Quantum Theory
- The Hilbert Space of Hermitian Matrices
- iii. Density Operators
- iv. Completely Positive Maps
- v. Positive Operator Valued Measures
- vi. Quantum Instruments
- vii. The Lindblad Equation

The Generalized Formalism

- In undergraduate quantum mechanics, we normally assume:
 - The system does not interact with its environment unless it is being measured.
 - Measurements are of the most ideal kind possible.
 - We have perfect knowledge of what our experimental devices are doing.
- These assumptions are never true in practice. When they do not hold, we have to generalize the formalism.
- We have already seen part of this in the GPT section: density matrices and POVMs. We will review them again, but there is much more.
- Supplementary reading for this section:
 - Teiko Heinosaari and Mario Ziman, "The Mathematical Language of Quantum Theory", Cambridge University Press (2012)
 - Benjamin Schumacher and Michael Westmoreland, "Quantum Processes, Systems, and Information", Cambridge University Press (2010)
 - Michael Nielsen and Isaac Chuang, "Quantum Computation and Quantum Information", Cambridge University Press (2000)

3.i) The Two Churches of Quantum Theory

- The Church of The Larger Hilbert Space:
 - Quantum theory is a dynamical theory, akin to a classical field theory, but with a weirder object called the wavefunction in place of a classical field.
 - All is to be derived from a quantum state (of the universe in principle) evolving unitarily according to the Schrödinger equation.
 - Today, we will allow projective measurements as well, but see lecture on Everett/many-worlds for how to derive them.
- The Church of The Smaller Hilbert Space:
 - Something strange has happened to our physical variables: they have become noncommutative.
 - Quantum theory is the only consistent probability theory for such variables.
- In this section, we will give both churches views on each construction.

3.ii) The Hilbert Space of Hermitian Matrices

() As it is a Hilbert space $L(H_A \rightarrow H_B)$ must have multiple orthonormal bases.

O The standard basis that we have been using is just like = lite of hl

O Clearly, 2 (MA-> HB) has dimension dA x dB

O But there are other bases, ey. consider 2(HA) with MA = C2 and let

$$\sigma_{0} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \qquad \sigma_{1} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \qquad \sigma_{2} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \qquad \sigma_{3} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Then $S_3 = \frac{1}{\sqrt{2}} \sigma_3$ is an orthonormal basis as $Tr(S_3 + S_h) = S_{3h}$

O Consequently, every 2x2 operator can be written as $M = \frac{1}{2} \sum_{i=1}^{n} M_{i} \sigma_{i}$ with $M_{i} = Tr(\sigma_{i} M)$

The Space of Hermitian Matrices

Olt input and output spaces are the same, we can have Hermitian matrices $M^{+}=M$ with $M=\sum_{i,h}M_{j,h}I_{j,h}M_{j$ O The set of Hermitian matrices on HA, denoted S(HA) is a Hilbert space ie it MI=M NI=N then (XM+RN) = XM+RN so long as X,BER and Tr(M[†]N) E R

The dimension of this space is d^2 d real parameters + (d-1)d real parameters

= d2 real parametes

Hermitian Bases

- O The matrices 1,5×hl are not Hermitian, but there must be a basis of d2 Hermitian matrices.
- O We already saw $\frac{1}{\sqrt{2}}\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, $\frac{1}{\sqrt{2}}\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\frac{1}{\sqrt{2}}\begin{pmatrix} 0 & -i \\ 0 & -1 \end{pmatrix}$ which is a Hermitian basis for operators on C^2
- O In general, you can take

 \frac{1}{\sqrt{2}(1) > \langle h | + | k > \langle j | \rangle} \rangle \text{These are not all different and not all nonzero (e.g. take j=k)

 \frac{1}{\sqrt{2}(i|j) < h | i|k) < j |} \rangle \text{Proper counting gives } d^2 \text{ orthonormal matrices.}

Hermitian Matrices are Self-Dual

- O Becouse $S(HA) \subseteq \mathcal{L}(HA) \equiv \mathcal{H}_A \otimes \mathcal{H}_A^{\dagger}$, the dual $S(\mathcal{H}_A)^{\dagger}$ work be all of L(HA)
- O Because S(Ha) is a real Hilbert space, S(NA) consists of linear functionals from S(HA) to R, not C
- O Because S(HA) is a Hilbert space, the inner product still induces an isomorphism S(Ha) = S(Ha)+

Write MES(MA) in terms of a self-adjoint basis

M= I, m, N;

real coefficients

Then Mt = I, m, N; = I, m, N; so a vector in S(Ha) is its
own dual vector

The Space of Commutative Matrices

- O Consider a maximal set of commuting matrices on HA, i.e. the set of operators that are diagonal in a common basis. This is a Hilbert space (over ()
- O If 1;) is the diagonalizing basis then 1; X;1 is a matrix basis, since all matrices can be written as

$$M = \sum_{i} \lambda_{i} |_{i} > \langle i \rangle$$
 dimension is d.

Oltwe restrict attention to Hermitian commuting operators (2)'s real) then this is also a Hilbert space, now over R. Denote this space as C(HA). (Matrices in C(HA) are also their own duals)

3.iii) Density Operators

- O According to the larger church, the universe always has a pure state vector 14>
- O If any other mathematical object is used for a quantum state, it must be because we are looking at a subsystem.
- O State space is Hs & HE

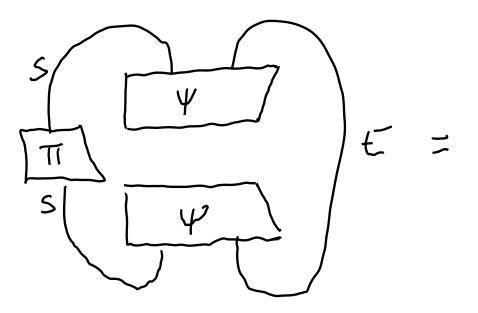
 System we are

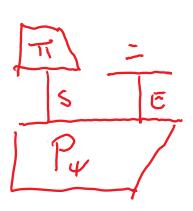
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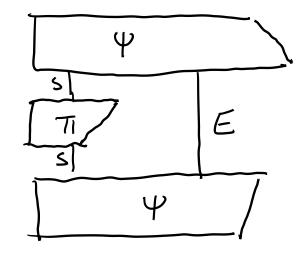
and we have $147_{SE} = \sum_{jh} 4^{jh} 1j >_{S} \otimes 1h7_{E}$ or 4^{jsk}

The View from the Larger Church

O Suppose we make a projective measurement on system S alone. The probability of getting outcome corresponding to projector II is







The View From The Larger Church

O It we define the object

then the probability is $Prob(T) = Tr(TI_s p_s) = TI_{is} p_{is}^{k_s} = II_{is}^{k_s} p_{is}^{is} = II_{is}^{k_s$

- OP lives in the space $\mathcal{H}_s \otimes \mathcal{H}_s^t \equiv \mathcal{L}(\mathcal{H}_s) \equiv \mathcal{L}(\mathcal{H}_s)^t$
 - So it is both an operator and a disperator
- O We normally call it a density operator (although we use it as a duperator)

An aside on positive operators

- OA positive operator $M \in \mathcal{L}(\mathcal{H}_A)$ is an operator that satisfies $A \vee 1M1 \vee A \geq 0$ for all $1 \vee A \in \mathcal{H}$
- O Theorem: An operator is positive iff it is self-adjoint and has positive (20) eigenvalues

 Proof:

Let 14>= 14>+i12>

=> < \pi | M | \psi > - i < \pi | M | \psi > + i < \pi | M | \psi > \geq 0

 $\langle \phi | M | \phi \rangle + i \langle \chi | M | \phi \rangle - i \langle \phi | M | \chi \rangle + \langle \chi | M | \chi \rangle \geq 0$ ©

 $\frac{\partial - \partial}{\partial i}$ < $\frac{\partial - \partial}{\partial i}$ < which is the definition of self-adjoint.

An aside on positive operators

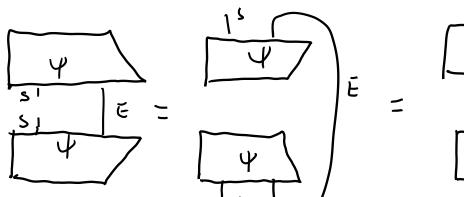
A self adjoint operator has real eigenvalues Let MIØ> = 210> By positivity \sqrt{p} MID>20 λ 20 Conversely, if $M = \sum_{i} \lambda_{j} |\phi_{j}\rangle\langle\phi_{j}|$ with $\lambda_{j} \geq 0$ then $\langle \Psi | M | \Psi \rangle = \sum_{i} \lambda_{i} \langle \Psi | \phi_{i} \rangle \langle \phi_{i} | \Psi \rangle = \sum_{i} \lambda_{i} | \langle \phi_{i} | \Psi \rangle |^{2} \geq 0.$ O Theorem: An operator $M \in \mathcal{L}(\mathcal{H}_A)$ is positive iff it can be written as $M = N^{\dagger}N$ where $N \in \mathcal{L}(\mathcal{H}_A \rightarrow \mathcal{H}_B)$ Proof. If M=N+N then <41M147=<41N+N147= || N147 || ≥0 Conversely, if $M = \sum_{i} \lambda_{i} | \Psi_{i} \rangle \langle \Psi_{i} |$ then let $M^{1/2} = \sum_{i} \int_{\lambda_{i}} | \Psi_{i} \rangle \langle \Psi_{i} |$ and then $M = N^{\dagger}N$ for $N = M^{1/2}$

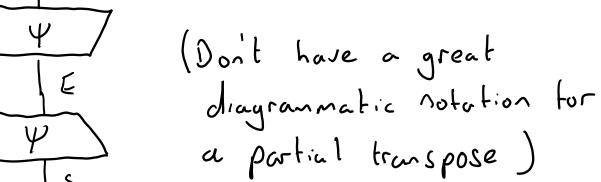
Properties of the density operator

O Given that
$$\psi^{jsh}$$
 is normalized $\psi^{jsh} = 1$

$$Tr(\rho) = \rho_{j_s}^{j_s} = \psi_{j_sh_e}^{j_he} = 1$$

Proof:
$$\psi^{k_s(\epsilon)}\psi^{\dagger}_{j_{sl\epsilon}} = \psi^{k_s}_{m\epsilon} \delta^{m_{\epsilon}l\epsilon} \delta_{n_{\epsilon}l\epsilon} \psi^{\dagger n_{\epsilon}}_{j_{s}} = \psi^{k_s}_{m\epsilon} \delta^{m_{\epsilon}l\epsilon}_{n_{\epsilon}} \psi^{\dagger n_{\epsilon}l\epsilon}_{j_{s}} = \psi^{k_s}_{n_{\epsilon}l\epsilon} \psi^{\dagger n_{\epsilon}l\epsilon}_{j_{s}} \psi^{\dagger n_{\epsilon}l\epsilon}_{j_{s}l\epsilon} \psi^{\dagger n$$





Properties of the density operator

- O $\rho_{s}^{h_{s}} = \psi_{l_{E}}^{t_{l_{E}}} = \psi_{l_{E}}^{h_{s}} \psi_{l_{S}}^{t_{l_{E}}}$ is of the form N^tN with N= $\psi_{s}^{t_{l_{E}}} \in \mathcal{L}(\mathcal{H}_{s} \to \mathcal{H}_{E})$ so $\rho_{s}^{h_{s}}$ is a positive operator.
- Oln summary, density operators must be positive and have Trace = 1.
- O Can any positive, trace 1 operator arise from ignoring the environment for some 14) SE.

This is an example of a purification of a density operator.

- O According to the smaller church, a quantum state should be any consistent way of assigning probabilities to observables.
- O We can view a quantum state as a functional that assigns expectation values to observables

- O When we apply it to projection operators, we should get probabilities.
- O Classically, expectation values behave linearly

$$\langle \alpha X + \beta Y \rangle = \alpha \langle X \rangle + \beta \langle Y \rangle$$

O We will impose this for quantum observables too (but can remove this later) $P(\alpha M + \beta N) = \alpha P(M) + \beta P(N)$

- OA linear functional from S(HA) to R is the definition of S(HA), so p must be a duperator.
- O However, we already saw that S(Ha) is self-dual, so we get for free that p is a self-adjoint operator

a self-adjoint operator
$$\rho(M) = \rho_{N_s}^{3s} M_{3s}^{N_s} = Tr(\rho M) = \frac{1s}{m}$$

O Since projectors must get assigned probabilities

Tr(pTT) ≥0 phs Ths ≥0

O Let T be a 1-dimensional projector This = $\psi_{js} \psi_{ks}$ Then Y's Phy Y's = <41PIY > 20 which is positivity.

O Finally a projective measurement $\{T_k\}$ $\{T$

$$1 = \sum_{h} T_{r}(\rho T_{h}) : T_{r}(\rho \left[\sum_{h} T_{h}\right]) = T_{r}(\rho I) : T_{r}(\rho)$$

so p must have trace = 1.

O Note: It we apply the same reissoning to $C(\mathcal{H}_{\Delta})$ instead of $S(\mathcal{H}_{\Delta})$, we would get commuting density operators, all of the form

Removing the Linearity Condition

The linearity condition P(M+N) = P(M) + P(N) is not operationally meaningful when M and N do not commute

We can't measure M+N by neasuring M at the same time as N and then adding the results.

- O Fortunately it can be removed.
- O Gleason's Theorem (which is hard to prove) states that:

For Hilbert space dimension ≥ 3 any function from projectors to R that Satisfies $f(\Pi) \geq 0$, $f(\Pi_1 + \Pi_2) : f(\Pi_1) + f(\Pi_2)$ if $\Pi_1 \Pi_2 = 0$

f(T)=1 is of the form f(T)=Tr(pT) for some density operator p.

Qubit Density Operators

O We have already seen that any qubit operator can be written as $M = \frac{1}{2} \sum_{i} m_{i} \sigma_{i} = \frac{1}{2} (m_{0} I + m_{1} \sigma_{i} + m_{2} \sigma_{2} + m_{3} \sigma_{3})$

O Density operators must have the eigenvalues and Tr(p)=1

Coefficient of 1 comes from Tr(p)=1

Comes from tre eigenvalues

1) Density operators are points inside the unit ball (pure states are on the Surface)

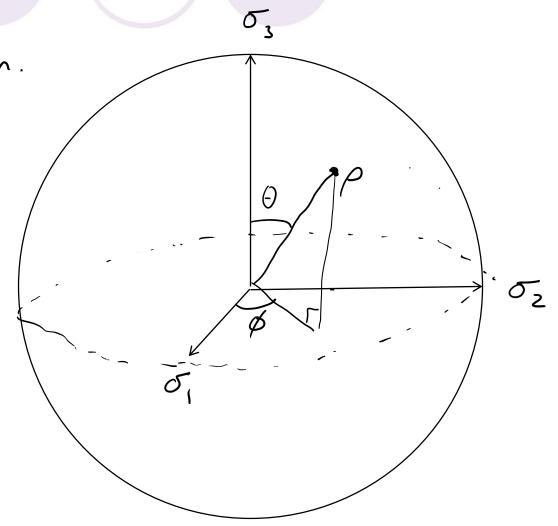
Qubit Density Operators

OThis gives the Bloch sphere representation.

O Pure states are on the surface

O Mixed states are inside

Note: The geometry is much more complicated in higher dimensions

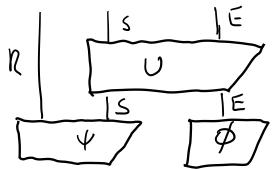


8.iv) Completely Positive Maps

- The dynamics of an isolated system is unitary, but in general a system might interact with its environment. How do we keep track of the state (density operator) of the system on its own?
- O According to the larger church, the system and environment generally start in a (possibly entangled) pure state 14>sR ∈ Hs⊗HR
- O However, the description to be given here only works if the system interacts with a part of the environment it is initially uncorrelated with, so we assume 14>sr@14>E & Us@Hr@HE and the

dynamics is 147 SRE = Use 147 SR @ 167E

where IY) sre is the final state of SRE.



The View from the Larger Church

O We are only interested in keeping track of the density operator of S.

Initially:
$$P_{S} = Tr_{R} (1\psi)_{SR} (\psi)$$

After $U: \tilde{P}_{S} = Tr_{RE} (1\tilde{\psi})_{SR} (\tilde{\psi})$

$$= Tr_{RE} (U_{SE} | \psi)_{SR} | \phi)_{E} (\int_{SE} \psi | \xi \phi | U_{SE}^{\dagger})$$

$$= Tr_{E} (U_{SE} | P_{S} \otimes | \phi)_{E} (\psi) | U_{SE}^{\dagger})$$

$$= \sum_{i} \xi_{i} |U_{SE}| \phi_{E}^{\dagger} P_{S} \xi_{i} (\psi) | U_{SE}^{\dagger} (\psi)_{E}^{\dagger}$$

$$= \sum_{i} M_{i}^{(j)} P_{S} M_{i}^{(j)} \leftarrow This is called the operator sum decomposition where $M_{i}^{(j)} = \xi_{i} |U_{SE}| \phi \in A_{i}^{e} (u) = u$$$

The View from the Larger Church

O The Kraus operators have to satisfy

$$\sum_{j} M^{(j)\dagger} M^{(j)} = \sum_{j} \{ \phi \mid U_{SE}^{\dagger} \mid \sum_{e} j \mid U_{SE}^{\dagger} \mid \phi \rangle_{e} = \{ \phi \mid \psi \rangle_{e} \mid \psi \rangle_{e}$$

$$= \{ \phi \mid I_{SE}^{\dagger} \mid \phi \rangle_{e} = \{ \phi \mid \phi \rangle_{e} \mid I_{S}^{\dagger} = I_{S}^{\dagger} \mid \phi \rangle_{e} \mid \phi \rangle_$$

O Do they have to satisfy any other constraints?

No. For any set of operators $M^{(j)} \in \mathcal{L}(\mathcal{H}_s)$ s.t. $\sum_{i} M^{(j)\dagger} M^{(j)} = \sum_{i} M^{(j)} M^{(j)} = \sum_{i} M$

you can construct a unitary USE

(see e.g. Nielsen and Chuang for proof)

- Officerding to the smaller church, dynamics should be any mapping of states to states that leads to well-defined probabilities for all observables at the output.
- O This turns out to be remarkably subtle.
- O Firstly, we will allow the output Hilbert space MB to be different from the input Hilbert space MA We may add a new subsystem or discard part of the system during the
- O so we need some sort of map \mathcal{E}_{BIA} from $\mathcal{L}(\mathcal{H}_A)$ to $\mathcal{L}(\mathcal{H}_B)$ that maps density operators to density operators.

- O'We will demand that EBIA is linear. Why?
 - If we prepare PA with probability P or of with probability (1-p)
- Then $\leq_{BIA}(pp+(1-p)\sigma_A) = p \leq_{BIA}(pA) + (1-p) \leq_{BIA}(\sigma_A)$
- O Strictly speaking, this only means that EBIA has to be affine, i.e. acts linearly on positive linear combinations.
- O But you can always extend an affine map to a linear one just by
 - defining Esia (-Pa) = Esia (PA)
- O So, we will have a linear operator from linear operators to linear operators

$$\mathcal{E}_{BIA} \in \mathcal{L}(\mathcal{L}(\mathcal{H}_A) \rightarrow \mathcal{L}(\mathcal{L}_B))$$
 sometimes called a superoperator.

The View from the Smaller Space